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Items Booklet for

Mark One Only   **HH01A**

> There are situations in which people provide regular unpaid care or
> assistance to a family member or friend who has a long-term
> illness or a disability.
>
> During the past month, did [fill TEMPNAME] provide any such care
> or assistance to a family member or friend living here or living
> elsewhere?
>
> INCLUDE ONLY UNPAID CARE OR ASSISTANCE ACTIVITIES. INCLUDE ONLY
> THOSE ACTIVITIES MADE NECESSARY BY THE ILLNESS OR DISABILITY
> OF THE RECIPIENT.
>
>   H
>
>   (1) Yes
>   (2) No
>   @

Mark One Only   **HH02**

> Did [fill TEMPNAME] provide such care or assistance to someone
> living here in the past month?
>
>   (1) Yes
>   (2) No
>
>   @

Enter Number   **HH03**

> During the past month, for how many persons living here did
> [fill TEMPNAME] provide care or assistance?
>
>   @   Number

Multiple Entry   **HH04**

```
[if HH03 ge <3> or HH03 eq <D> or HH03 eq <R>]
    For which person(s) in this household did [fill TEMPNAME] provide
    regular unpaid care or assistance?  (Please list only the two
    persons for whom [fill TEMPNAME] provided the most assistance,
    or care in the past month.)
 [else]
[if HH03 eq <1> or HH03 eq <2>]
    For which person(s) in this household did [fill TEMPNAME]
    provide regular unpaid care or assistance?
       [endif]
     [endif]


    IF THERE IS ONLY ONE ENTRY, ENTER "N" AFTER THAT ENTRY.



       @1       @2
```

Mark One Only

HH05A

What is [fill PTEMPNAME] relationship to [fill FAMILYNAM]?

    (1) Spouse
    (2) Partner
    (3) Child
    (4) Grandchild
    (5) Parent
    (6) Brother/sister
    (7) Other relative
    (8) Nonrelative

    @

Enter Number

HH06A

For how many years [fill HAVHAS] [fill TEMPNAME] provided care or
assistance to [fill FAMILYNAM]?

ENTER "0" IF LESS THAN 1 YEAR.

    @ Years

Multiple Entry

HH07A

Now think about last month, what kind of care or assistance did
[fill TEMPNAME] give to [fill FAMILYNAM]?
Did [fill HESHE]:

    (1) Yes (2) No

 a.   Help him/her dress, eat, bathe, or get to the
      bathroom?   @1

 b.   Help with medical needs such as taking
      medicines or changing bandages?   @2

 c.   Help him/her keep track of bills, checks,
      or other financial matters?   @3

 d.   Help by taking him/her shopping
      or to the doctor's office?   @4

 e.   Help in any other way? Specify   @5

Enter Text

HH07A1

Please specify "OTHER" care or assistance provided.

    @

Enter Number

HH08A

On average, how many hours a week did [fill TEMPNAME] usually spend
providing care or assistance for [fill FAMILYNAM] in the past month?

    @ Hours

Mark One Only

HH09A

Did [fill FAMILYNAM] receive similar unpaid care or assistance
from anyone other than you in the past month?

    (1) Yes
    (2) No

    @

Enter Number

HH10A

```
Think about the unpaid care and assistance provided by other
person(s) in the past month, on average, how many hours per
week did [fill FAMILYNAM] usually receive care or assistance?

    @ Hours
```

Mark One Only

HH12A

```
Sometimes people receive professional home health care services
such as visits by nurses or therapists or home health aides.
Did [fill FAMILYNAM] receive professional home health services
in the past month?

    (1)  Yes
    (2)  No

     @
```

Enter Number

HH12A1

```
In terms of professional care or assistance from home health care
services, how many hours per week did [fill FAMILYNAM] usually
receive in the past month?

    @ Hours
```

Mark One Only

HH05B

```
What is [fill PTEMPNAME] relationship to [fill FAMILYNAM]?

    (1)  Spouse
    (2)  Partner
    (3)  Child
    (4)  Grandchild
    (5)  Parent
    (6)  Brother/sister
    (7)  Other relative
    (8)  Nonrelative

     @
```

Enter Number

HH06B

```
For how many years [fill HAVHAS] [fill TEMPNAME] provided care or
assistance to [fill FAMILYNAM]?

 ENTER "0" IF LESS THAN 1 YEAR.

    @ Years
```

Multiple Entry   **HH07B**

```
Now think about last month, what kind of care or assistance did
[fill TEMPNAME] give to [fill FAMILYNAM]?
Did [fill HESHE]:

    (1) Yes  (2) No

a.   Help him/her dress, eat, bathe, or get to the
       bathroom?                                    @1

b.   Help with medical needs such as taking
       medicines or changing bandages?              @2

c.   Help him/her keep track of bills, checks,
       or other financial matters?                  @3

d.   Help by taking him/her shopping
       or to the doctor's office?                   @4

e.   Help in any other way?  Specify               @5
```

Enter Text   **HH07B1**

```
Please specify "OTHER" care or assistance provided.

    @
```

Enter Number   **HH08B**

```
On average, how many hours a week did [fill TEMPNAME]
usually spend providing care or assistance for [fill FAMILYNAM]
in the past month?

    @  Hours
```

Mark One Only   **HH09B**

```
Did [fill FAMILYNAM] receive similar unpaid care or assistance
from anyone other than you in the past month?

    (1)  Yes
    (2)  No

    @
```

Enter Number   **HH10B**

```
Think about the unpaid care and assistance provided by other
person(s) in the past month, on average, how many hours per
week did [fill FAMILYNAM] usually receive care or assistance?

    @ Hours
```

Mark One Only   **HH12B**

```
Sometimes people receive professional home health care services
such as visits by nurses or therapists or home health aides.  Did
[fill FAMILYNAM] receive professional home health care services
in the past month?

    (1)  Yes
    (2)  No

    @
```

<table>
<tr><td>Enter Number</td><td>**HH12B1**</td></tr>
</table>

Enter Number	**HH12B1**

In terms of professional care or assistance from home health care
services, how many hours per week did [fill FAMILYNAM] usually
receive in the past month?

    @ Hours

Mark One Only	**HH13**

During the past month, did [fill TEMPNAME] provide any unpaid care
or assistance to any persons who lived outside of [fill PTEMPNAME] home?

INCLUDE ONLY UNPAID CARE OR ASSISTANCE ACTIVITIES. INCLUDE ONLY
THOSE ACTIVITIES MADE NECESSARY BY THE ILLNESS OR DISABILITY
OF THE RECIPIENT.

                                                          H

    (1) Yes
    (2) No

      @

Enter Number	**HH14**

For how many persons living outside of [fill PTEMPNAME] home did
[fill TEMPNAME] provide care or assistance in the past month?

    @ Number

Multiple Entry	**HH15**

[if HH14 ge <3> or HH14 eq <D> or HH14 eq <R>]
   What [fill WASWERE] the name(s) of the person(s) outside
   [fill PTEMPNAME] home for whom you provided care or
   assistance? (Please list only the two persons for whom
   [fill TEMPNAME] provided the most assistance in the past month).
[else]
[if HH14 eq <1> or HH14 eq <2>]
   What [fill WASWERE] the name(s) of the person(s) outside
   [fill PTEMPNAME] home for whom you provided care or
   assistance?

   IF THERE IS ONLY ONE ENTRY, ENTER "N" AFTER THAT ENTRY.

      1st Person's Name   @1

      2nd Person's Name   @2

Mark One Only	**HH16A**

What is [fill PTEMPNAME] relationship to [fill OUTSIDNAM]?

    (1) Spouse
    (2) Partner
    (3) Child
    (4) Grandchild
    (5) Parent
    (6) Brother/sister
    (7) Other relative
    (8) Nonrelative

     @

Enter Number — HH17A

For how many years [fill HAVHAS] [fill TEMPNAME] provided care or
assistance to [fill OUTSIDNAM]?

ENTER "0" IF LESS THAN 1 YEAR.

    @ Years

Mark One Only — HH18A

In what type of residence did [fill OUTSIDNAM] live in the past month?
Was it in an ordinary residence, such as a house or apartment, or
was it some other type of care facility?

    (1) House or apartment
    (2) Care facility
    (3) Other, specify

    @

Enter Text — HH18A1

Please specify "OTHER" type of residence.

    @

Multiple Entry — HH19A

What kind of assistance did [fill TEMPNAME] give to [fill OUTSIDNAM]?
Did [fill HESHE]:
    (1) Yes (2) No
a. Help him/her dress, eat, bathe, or
    get to the bathroom?   @1

b. Help with medical needs such as taking
    medicines or changing bandages?   @2

c. Help him/her keep track of bills, checks,
    or other financial matters?   @3

d. Help by taking him/her shopping or to
    the doctor's office?   @4

e. Help in any other way? Specify   @5

Enter Text — HH19A1

 Please specify "OTHER" type of assistance.

    @

Enter Number — HH20A

On average, how many hours a week did [fill TEMPNAME]
usually spend providing care or assistance for [fill OUTSIDNAM]?

    @ Hours

Mark One Only — HH21A

During the past month, did [fill OUTSIDNAM] receive similar unpaid
care or assistance from any other persons?

    (1) Yes
    (2) No

    @

Enter Number   **HH21A1**

Think about the last month, how many hours per week of unpaid care
or assistance did [fill OUTSIDNAM] usually receive from that person?

    @ Hours

Mark One Only   **HH22A**

During the past month, did [fill TEMPNAME] regularly spend time with
[fill OUTSIDNAM] in order to provide companionship and emotional support
because of his/her long-term illness or disability?

    (1) Yes
    (2) No

    @

Mark One Only   **HH24A**

Sometimes people receive professional home health care services
such as visits by nurses or therapists or home health aides. Did
[fill OUTSIDNAM] receive professional health care or assistance
during the past month?

    (1) Yes
    (2) No

    @

Enter Number   **HH24A1**

In terms of professional care and assistance from home health care
services, how many hours per week did [fill OUTSIDNAM] usually
receive in the past month?

    @ Hours

Mark One Only   **HH16B**

What is [fill PTEMPNAME] relationship to [fill OUTSIDNAM]?

    (1) Spouse
    (2) Partner
    (3) Child
    (4) Grandchild
    (5) Parent
    (6) Brother/sister
    (7) Other relative
    (8) Nonrelative

    @

Multiple Entry   **HH17B**

For how long [fill HAVHAS] [fill TEMPNAME] provided care or
assistance to [fill OUTSIDNAM]?

    @2 Years

Mark One Only   **HH18B**

In what type of residence did [fill OUTSIDNAM] live in the past
month? Was it in an ordinary residence, such as a house or
apartment, or was it some other type of care facility?

    (1) House or apartment
    (2) Care facility
    (3) Other, specify
    @

	HH18B1
Enter Text	

Please specify "OTHER" type of residence.

    @

	HH19B
Multiple Entry	

What kind of assistance did [fill TEMPNAME] give to [fill OUTSIDNAM][fill
HESHE]:
    (1) Yes (2) No
  a.   Help him/her dress, eat, bathe, or
       get to the bathroom?   @1

  b.   Help with medical needs such as taking
       medicines or changing bandages?   @2

  c.   Help him/her keep track of bills, checks,
       or other financial matters?   @3

  d.   Help by taking him/her shopping or to
       the doctor's office?   @4

  e.   Help in any other way? Specify   @5

	HH19B1
Enter Text	

Please specify "OTHER" type of assistance.

    @

	HH20B
Enter Number	

On average, how many hours a week did [fill TEMPNAME] usually
spend providing care or assistance for [fill OUTSIDNAM]?

    @ Hours

	HH21B
Mark One Only	

During the past month, did [fill OUTSIDNAM] receive similar unpaid care
or assistance from any other persons?

    (1) Yes
    (2) No

    @

	HH21B1
Enter Number	

Think about the last month, how many hours per week of unpaid care
or assistance did [fill OUTSIDNAM] usually receive from that person(s)?

    @ Hours

	HH22B
Mark One Only	

 During the past month, did [fill TEMPNAME] regularly spend time with
 [fill OUTSIDNAM] in order to provide companionship and emotional
 support because of this illness or disability?

    (1) Yes
    (2) No

     @

Mark One Only

HH24B

Sometimes people receive professional home health care services
such as visits by nurses or therapists or home health aides. Did
[fill OUTSIDNAM] receive professional health care or assistance
during the past month?

    (1)   Yes
    (2)   No

      @

Enter Number

HH24B1

In terms of professional care and assistance from home health care
services, how many hours per week did [fill OUTSIDNAM] usually
receive in the past month?

    @ Hours

Mark One Only   **PR1_PR090**

Was [fill HISHER] primary source of work related income during the
last 4 months from [fill HISHER] job or from [fill HISHER] business?

        (1) Job
        (2) Business

          @

Mark One Only   **PR3_PR110**

I just need to verify some information. Thinking about the location
where [fill HESHE] [fill TEMP1], about how many people are employed
there by [fill JBNAME]?

        (1) less than 10
        (2) 10 to 24
        (3) 25 to 49
        (4) 50 to 99
        (5) 100 to 249
        (6) 250 to 499
        (7) 500 to 999
        (8) 1,000 or more
          @

Mark One Only   **PR4_PR120**

About how many people are employed by
[fill JBNAME] at all locations?

        (1) less than 10
        (2) 10 to 24
        (3) 25 to 49
        (4) 50 to 99
        (5) 100 to 249
        (6) 250 to 499
        (7) 500 to 999
        (8) 1,000 or more
          @

Mark One Only   **PR4A_PR121**

I just need to verify some information. About how many people are
employed by [fill JBNAME]?

        (1) less than 10
        (2) 10 to 24
        (3) 25 to 49
        (4) 50 to 99
        (5) 100 to 249
        (6) 250 to 499
        (7) 500 to 999
        (8) 1,000 or more
          @

Enter Number   **PR5_PR130**

How many weeks during the year [fill DODOES] [fill HESHE] usually
work at [fill JBNAME]? Include paid vacation and sick leave as
work time.

        @ Weeks

<div align="center">Multiple Entry</div>

<div align="right">**PR6_PR140**</div>

```
    How long [fill HAVHAS] [fill HESHE] been working for
    [fill JBNAME]?

        @1 Number

    ENTER "1" FOR MONTHS OR "2" FOR YEARS.

        (1) Months
        (2) Years

        @2
```

<div align="center">Mark One Only</div>

<div align="right">**PR7_PR150**</div>

```
    Now I'd like to ask about retirement plans offered on this job,
    not Social Security, but plans that are sponsored by [fill HISHER]
    [fill JOBUSA].  This includes regular pension plans as well as
    other kinds of retirement plans like thrift and savings plans,
    401(k) or 403(b) plans, and deferred profit-sharing and
    stock plans.

    Does [fill HISHER] [fill JOBUSA] have any kind of pension or retirement
    plans for anyone in [fill HISHER] company or organization?

        (1)  Yes
        (2)  No
        @
```

<div align="center">Mark One Only</div>

<div align="right">**PR8_PR160**</div>

```
    [fill C_AREIS] [fill HESHE] included in such a plan?

        (1) Yes
        (2) No
        @
```

<div align="center">Multiple Entry</div>

<div align="right">**PR9_PR170**</div>

```
    Why [fill AREIS] [fill HESHE] not included?

    ENTER ALL THAT APPLY.
    ENTER "N" AFTER LAST ENTRY.

[fill PR9_1:b](01) No one in my type of job      [fill PR9_8:b](08) Employer doesn't contribute,
                   is allowed in the plan                             or contribute enough
[fill PR9_2:b](02) Don't work enough hours,       [fill PR9_9:b](09) Don't plan to be in job long enough
                   weeks or months per year       [fill PR9_10:b](10) Don't need it
[fill PR9_3:b](03) Haven't worked long enough     [fill PR9_11:b](11) Have an IRA or other pension plan
                   for this employer                                  coverage
[fill PR9_4:b](04) Started job too close to       [fill PR9_12:b](12) Spouse has pension plan
                   retirement date                [fill PR9_13:b](13) Haven't thought about it
[fill PR9_5:b](05) Too young                      [fill PR9_14:b](14) Some other reason
[fill PR9_6:b](06) Can't afford to contribute
[fill PR9_7:b](07) Don't want to tie up money

                @1
```

<div align="center">Enter Text</div>

<div align="right">**PR9_ERR**</div>

```
    "Don't Know and/or Refused" response not permitted with other answers
    Enter (B) to backup
        @
```

Mark One Only   **PR10_PR180**

```
Is the plan something like a 401(k) plan, where workers contribute
to the plan and their contributions are tax deferred?

     (1) Yes
     (2) No
     @
```

Enter Number   **PR11_PR190**

```
Some workers participate in more than one retirement plan.  For
example, they might have a regular pension plan and also have
some kind of retirement savings plan.

How many different pension or retirement plans [fill DODOES]
[fill HESHE] have on this [fill JOBUS]?

     @ Number of plans
```

Mark One Only   **PR12_PR200**

```
[if PR11_PR190 gt <1> or PR11_PR190 eq <D> or PR11_PR190 eq <R>]
   The following question is about the plan [fill HESHE] would consider
   to be [fill HISHER] most important retirement plan on this job.[endif]
   There are several types of retirement plans.

   In the first type, [fill HISHER] benefit is defined by a formula
   usually involving [fill HISHER] earnings and years on the job.

   In the second type of plan, contributions made by [fill HIMHER] and/or
   [fill HISHER] employer go into an individual account for [fill HIMHER].

   The third type of plan shares some characteristics with the above two
   plans.  In this type of plan, [fill HISHER] employer contributes a value
   equal to a percent of each of [fill HISHER] earnings each year and there
   is a rate of return on that contribution.  This type of plan is sometimes
   called a cash balance plan.
   SHOW FLASHCARD Z

   Which type of plan [fill AREIS] [fill HESHE] in?
                                                                    H
        (1) Plan based on earnings and years on the job
        (2) Individual account plan
        (3) Cash Balance Plan
        @
```

Mark One Only   **PR13_PR210**

```
What is [fill HISHER] second most important plan on this job?
SHOW FLASHCARD Z
                                                                    H
     (1) Plan based on earnings and years on the job
     (2) Individual account plan
     (3) Cash Balance Plan
     @
```

Mark One Only   **PR14_PR220**

```
The following series of questions refer to [fill HISHER] [fill IMPORTANT]
plan.

[fill C_DODOES] [fill HESHE] contribute any money to this plan,
for example, through payroll deductions?

     (1) Yes
     (2) No

     @
```

Mark One Only   **PR14A_PR220A**

In some plans like 401(k) plans the money [fill HESHE] [fill TEMP1]
is tax-deferred. Are [fill HISHER] contributions to this plan
tax-deferred?

    (1) Yes
    (2) No

    @

Mark One Only   **PR14B_PR220B**

If [fill HESHE] [fill WASWERE] to leave [fill HISHER] [fill JOBUSB] now
or within the next few months, could [fill HESHE] eventually receive
some benefits from this plan when [fill HESHE] [fill TEMP1] retirement age?

    (1) Yes
    (2) No

    @

Mark One Only   **PR14C_PR220C**

If [fill HESHE] left [fill HISHER] [fill JOBUSB] now, could [fill HESHE]
get a lump-sum payment from this plan when [fill HESHE] left?

    (1) Yes
    (2) No

    @

Enter Number   **PR15_PR230**

How many years [fill HAVHAS] [fill HESHE] been included in this plan?

    @   Years

Mark One Only   **PR16_PR231**

Will [fill HISHER] benefits from this plan be either increased or
decreased because [fill HESHE] [fill TEMP1] in the Social Security
program?

    (1) Yes
    (2) No
    (3) Do not participate in Social Security
    @

Enter Number   **PR17_PR232**

How much has [fill HISHER] [fill JOBUSB] contributed to [fill HISHER]
plan within the last year?

    $@

Enter Number   **PR18_PR233**

As of the end of [fill MONTH4], what was the total amount of money in
[fill HISHER] account?

    $@

<div style="text-align: right">**PR19_PR234**</div>

Mark One Only

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES:

    (1) Less than $5,000
    (2) $5,000 to $10,000
    (3) $10,001 to $25,000
    (4) $25,001 to $50,000
    (5) $50,001 to $75,000
    (6) $75,001 or more
        @

<div style="text-align: right">**PR20_PR240**</div>

Mark One Only

The following series of questions refer to [fill HISHER] second most
important pension plan.

[fill C_DODOES] [fill HESHE] contribute any money to this plan,
for example, through payroll deductions?

    (1) Yes
    (2) No

        @

<div style="text-align: right">**PR20A_PR240A**</div>

Mark One Only

In some plans like 401(k) plans the money [fill HESHE] [fill TEMP1]
is tax-deferred. Are [fill HISHER] contributions to this plan tax-deferred?

    (1) Yes
    (2) No

        @

<div style="text-align: right">**PR20B_PR240B**</div>

Mark One Only

If [fill HESHE] [fill WASWERE] to leave [fill HISHER] [fill JOBUSB] now
or within the next few months, could [fill HESHE] eventually receive
some benefits from this plan when [fill HESHE] [fill TEMP1] retirement age?

    (1) Yes
    (2) No

        @

<div style="text-align: right">**PR20C_PR240C**</div>

Mark One Only

If [fill HESHE] left [fill HISHER] [fill JOBUSB] now, could [fill HESHE]
get a lump-sum payment from this plan when [fill HESHE] left?

    (1) Yes
    (2) No

        @

<div style="text-align: right">**PR21_PR250**</div>

Enter Number

How many years [fill HAVHAS] [fill HESHE] been included in this plan?

    @ Years

Mark One Only **PR22_PR251**

Will [fill HISHER] benefits from this plan be either increased or
decreased because [fill HESHE] [fill TEMP1] in the Social Security program?

    (1) Yes
    (2) No
    (3) Do not participate in Social Security
    @

Enter Number **PR23_PR252**

How much has [fill HISHER] [fill JOBUSB] contributed to [fill HISHER]
plan within the last year?

    $@

Enter Number **PR24_PR253**

As of the end of [fill MONTH4], what was the total amount of money in
[fill HISHER] account?

    $@

Mark One Only **PR25_PR254**

What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES:

    (1) Less than $5,000
    (2) $5,000 to $10,000
    (3) $10,001 to $25,000
    (4) $25,001 to $50,000
    (5) $50,001 to $75,000
    (6) $75,001 or more
    @

Mark One Only **PR26_PR260**

I'd like to make sure about a particular type of retirement plan
that allows workers to make tax deferred contributions. For example,
[fill HESHE] might choose to have [fill HISHER] employer put part of
[fill HISHER] salary into a retirement savings account and [fill HESHE]
[fill DODOES] not have to pay taxes on this money until [fill HESHE]
[fill TEMP1]. These plans are called by different names,
including 401(k) plans, pre-tax plans, salary reduction plans and
403(b) plans.

Does [fill HISHER] [fill JOBUSB] offer a plan like this to anyone in [fill
HISHER] company or organization?

    (1) Yes
    (2) No
    @

Mark One Only **PR27_PR270**

[fill C_AREIS] [fill HESHE] participating in this plan?

    (1) Yes
    (2) No

    @

Multiple Entry **PR28_PR280**

```
   Why [fill AREIS] [fill HESHE] not included?


   ENTER ALL THAT APPLY
   ENTER "N" AFTER LAST ENTRY.

[fill PR28_1:b](01) No one in my type of job    [fill PR28_8:b](08) Employer doesn't contribute,
                    is allowed in the plan                              or contribute enough
[fill PR28_2:b](02) Don't work enough hours,    [fill PR28_9:b](09) Don't plan to be in job long
enough
                    weeks or months per year    [fill PR28_10:b](10) Don't need it
[fill PR28_3:b](03) Haven't worked long enough  [fill PR28_11:b](11) Have an IRA or other pension
plan
                    for this employer                                coverage
[fill PR28_4:b](04) Started job too close to    [fill PR28_12:b](12) Spouse has pension plan
                    retirement date             [fill PR28_13:b](13) Haven't thought about it
[fill PR28_5:b](05) Too young                   [fill PR28_14:b](14) Some other reason
[fill PR28_6:b](06) Can't afford to contribute
[fill PR28_7:b](07) Don't want to tie up money

        @1
```

Enter Text **PR28_ERR**

```
   "Don't Know and/or Refused" response not permitted with other answers
   Enter (B) to backup
        @
```

Mark One Only **PR28A_PR281**

```
   Does [fill HISHER] employer provide a matching contribution, or contribute
   to the plan in any other way?

        (1) Yes
        (2) No

        @
```

Mark One Only **PR29_PR290**

```
[fill C_DODOES] [fill HESHE] expect to start participating in this plan within
the next few years?

        (1) Yes
        (2) No
        @
```

Multiple Entry **PR30_PR300**

```
[if PR14A_PR220A eq <1> and PR20A_PR240A eq <1>]
Referring to [fill HISHER] most important plan, [endif]
How much [fill DODOES] [fill HESHE] contribute toward this plan?

ENTER (N) IF RESPONDENT MAKES NO CONTRIBUTIONS.

        $ @1
        Per: (1) Week
             (2) Biweekly
             (3) Month
             (4) Quarter
             (5) Year
        @2

                OR

        @3  Percent of Salary
```

Mark One Only

PR31_PR310

```
Does [fill HISHER] [fill JOBUSB] make contributions
into this plan?

     (1) Yes
     (2) No
     @
```

Mark One Only

PR32_PR320

```
Does the amount that [fill HISHER] [fill JOBUSB] contributes to the plan
depend entirely, partly, or not at all on the amount [fill HESHE]
[fill TEMP1]?

     (1) Depends entirely
     (2) Depends partly
     (3) Not at all
     @
```

Multiple Entry

PR33_PR330

```
How much does [fill HISHER] [fill JOBUSB] actually contribute to the plan?

     $ @1
     Per: (1) Week
          (2) Biweekly
          (3) Month
          (4) Quarter
          (5) Year
     @1A


             OR


     @2  Percent of Salary


             OR


         (6) Contributions out of profits
         (7) Contribution varies
     @3
```

Mark One Only

PR34_PR340

```
[fill C_AREIS] [fill HESHE] able to choose how any of the money in the plan is
invested?

     (1) Yes
     (2) No
     @
```

Mark One Only

PR35_PR350

```
[fill C_AREIS] [fill HESHE] able to choose how all of the money is invested, or
just part of it?

     (1) All of the money
     (2) Part of the money
     @
```

Multiple Entry
PR36_PR360

```
How are the current contributions to this account being invested?

READ ALL CATEGORIES.  ENTER ALL THAT APPLY.
ENTER "N" AFTER LAST ENTRY

    [fill PR36_1:b](1) Company stock of [fill HISHER] employer
    [fill PR36_2:b](2) Stock funds
    [fill PR36_3:b](3) Corporate bonds or bond funds
    [fill PR36_4:b](4) Long term interest bearing securities
    [fill PR36_5:b](5) Diversified stock and bond funds
    [fill PR36_6:b](6) Government securities
    [fill PR36_7:b](7) Money market funds
    [fill PR36_8:b](8) Other investments
    @1
```

Enter Text
PR36_ERR

```
"Don't Know and/or Refused" response not permitted with other answers
Enter (B) to backup
    @
```

Mark One Only
PR37_PR370

```
Of the types of investments just mentioned, which type is where
the largest share of current contributions are being invested?

    (1) Employer company stock
    (2) Stock funds
    (3) Corporate bonds or bond funds
    (4) Long term interest bearing securities
    (5) Diversified stock and bond funds
    (6) Government securities
    (7) Money market funds
    (8) Other investments
    (9) Evenly split between types reported
    @
```

Enter Number
PR38_PR380

```
As of the end of [fill MONTH4], what was the total amount of money in
[fill HISHER] account?

    $@
```

Mark One Only
PR39_PR390

```
What is [fill HISHER] best estimate of the amount in [fill HISHER] account?

READ ALL CATEGORIES.

    (1) Less than $5,000
    (2) $5,000 to $10,000
    (3) $10,001 to $25,000
    (4) $25,001 to $50,000
    (5) $50,001 to $75,000
    (6) $75,001 or more
    @
```

Mark One Only
PR40_PR391

```
[fill C_HAVHAS] [fill HESHE] ever taken out any money from [fill HISHER]
plan in the form of a loan?

    (1) Yes
    (2) No
    @
```

Mark One Only   **PR41_PR392**

```
Does [fill HISHER] plan permit [fill HIMHER] to take out a loan?

     (1) Yes
     (2) No
     @
```

Enter Number   **PR42_PR393**

```
What is the current outstanding balance due from that loan?

     $@
```

Mark One Only   **PR43_PR394**

```
What is [fill HISHER] best estimate of the amount of the loan?

READ ALL CATEGORIES.

     (1) Less than $2,500
     (2) $2,500 to $5,000
     (3) $5,001 to $10,000
     (4) $10,001 to $25,000
     (5) $25,001 to $50,000
     (6) $50,001 or more
     @
```

Mark One Only   **PR44_PR400**

```
[fill C_AREIS] [fill HESHE] participating in any pension or retirement plans
offered on any other jobs or businesses [fill HESHE] currently [fill HAVHAS]?

     (1) Yes
     (2) No
     @
```

Mark One Only   **PR45_PR410**

```
[if RECNT5 lt <1>]
The next questions are about pension or retirement plans
offered by employers or unions.  This includes regular pension
plans as well as other kinds of retirement plans, like thrift
and savings plans, 401(K) or 403(b) plans and deferred
profit-sharing and stockplans. Excluding Social Security
[else]
Other than Social Security or the plans we have already talked about
[endif]
[fill HAVHAS] [fill HESHE] ever been covered by a pension or retirement
plan on any previous jobs or businesses?

     (1) Yes
     (2) No
     @
```

Mark One Only   **PR46_PR420**

```
Are there any previous plans from which [fill HESHE] [fill HAVHAS]
not yet received any benefits, but expect to receive them in the future?

     (1) Yes
     (2) No
     @
```

Enter Number

PR47_PR430

How many years did [fill HESHE] work on the job from which [fill HESHE]
[fill TEMP1] to receive this pension?

    @ Years

Enter Number

PR47A_PR431

In what year did [fill HESHE] leave that job?

    @ Years

Mark One Only

PR48_PR440

Will the amount of [fill HISHER] retirement benefits from that plan be
determined by a formula such as one based on [fill HISHER] earnings and
years of service or will [fill HISHER] benefits be based on the total
amount of money held in an individual account for [fill HIMHER]?

    (1) Based on a formula
    (2) Based on the amount of money in account
    @

Enter Number

PR49_PR450

As of the end of [fill MONTH4], what was the total amount of money
in [fill HISHER] account?

    $@

Mark One Only

PR50_PR460

What is [fill HISHER] best estimate of the amount of money in
[fill HISHER] account?

READ ALL CATEGORIES.

    (1) Less than $5,000
    (2) $5,000 to $10,000
    (3) $10,001 to $25,000
    (4) $25,001 to $50,000
    (5) $50,001 to $75,000
    (6) $75,001 or more
    @

Mark One Only

PR51_PR461

Could [fill HESHE] withdraw this money now, or will [fill HESHE]
have to wait until retirement age to get the money?

    (1) Could withdraw money now
    (2) Must wait until retirement
    @

Mark One Only

PR52_PR470

[fill C_HAVHAS] [fill HESHE] ever received a lump-sum payment from
a pension or retirement plan from a previous job, including any
lump sums that may have been directly rolled over to another plan
or to an IRA?

    (1) Yes
    (2) No
    @

<div style="text-align: center">Mark One Only</div>

PR52A_PR471

```
Why did [fill HESHE] leave that job?

     (1)   Laid off
     (2)   Retired or old age
     (3)   Child care problems
     (4)   Other family obligations
     (5)   Own illness
     (6)   Own injury
     (7)   School/training
     (8)   Discharged/fired
     (9)   Employer bankrupt
     (10)  Employer sold business
     (11)  Job temporary and ended
     (12)  Quit to take another job
     (13)  Slack work/business conditions
     (14)  Unsatisfactory work arrangements

     @
```

<div style="text-align: center">Mark One Only</div>

PR53_PR480

```
[fill C_HAVHAS] [fill HESHE] ever received survivor benefits in the form
of a lump-sum payment from someone else's pension or retirement plan?

     (1) Yes
     (2) No
     @
```

<div style="text-align: center">Enter Number</div>

PR54_PR490

```
Over the years, how many of these lump sum distributions,
including rollovers, [fill HAVHAS] [fill HESHE] received?

     @ Number
```

<div style="text-align: center">Enter Number</div>

PR55_PR500

```
[if PR54_PR490 gt <1> or PR54_PR490 eq <R> or PR54_PR490 eq <D>]
Please answer the following questions about [fill HISHER] most recent lump
sum or rollover.
[endif]
In what year did [fill HESHE] receive this lump sum or rollover?

     @ Year
```

<div style="text-align: center">Mark One Only</div>

PR56_PR510

```
Did [fill HESHE] also receive any lump sum payments in 2002?

     (1) Yes
     (2) No
     @
```

Mark One Only   **PR57_PR520**

```
[if PR56_PR510 eq <1>]
Was the lump sum [fill HESHE] received in 2002
[else]
[if PR56_PR510 eq <2>]
Was the lump sum [fill HESHE] received in 2003
[else]
Was the lump sum
[endif] [endif]
from a private employer or union plan,
from the military, from other Federal employee plans, or from a
State or Local government plan?

      (1) Private employer or union plan
      (2) Military plan
      (3) Other federal plans
      (4) State or local government
      (5) Other
      @
```

Mark One Only   **PR58_PR521**

```
Did [fill HESHE] withdraw the money voluntarily, or did the plan require
[fill HIMHER] to withdraw it?

      (1) Voluntarily
      (2) Required to withdraw
      @
```

Enter Number   **PR59_PR530**

```
What was the total amount of the lump sum or rollover?

      $@
```

Mark One Only   **PR60_PR540**

```
What is [fill HISHER] best estimate of the lump sum or rollover amount?

READ ALL CATEGORIES.

      (1) Less than $5,000
      (2) $5,000 to $10,000
      (3) $10,001 to $25,000
      (4) $25,001 to $50,000
      (5) $50,001 to $75,000
      (6) $75,001 or more
      @
```

Mark One Only   **PR61_PR550**

```
Did [fill HESHE] actually receive the money, or was it directly rolled
over into another plan or to an IRA?

      (1) Actually received
      (2) Directly rolled over
      @
```

Mark One Only   **PR62_PR560**

```
After receiving the lump sum payment, did [fill HESHE] then roll any of
the money over into another retirement plan or into an IRA?

      (1) Yes
      (2) No
      @
```

Mark One Only

PR63_PR570

```
Did [fill HESHE] roll it over into another plan on [fill HISHER] job,
an individual annuity, an IRA, or some other type of plan?

     (1)  Plan on job
     (2)  Individual annuity
     (3)  IRA
     (4)  Other
     @
```

Mark One Only

PR64_PR571

```
Did [fill HESHE] roll over the entire amount or just part of it?

     (1)  Entire amount
     (2)  Partial amount
     @
```

Multiple Entry

PR65_PR580

```
   People who receive lump sums may spend or invest the money in many
   different ways.  How did [fill HESHE] use the money from the lump sum [fill
   HESHE] received?
        ENTER ALL THAT APPLY.        ENTER "N" AFTER LAST ENTRY.
[fill PR65_1:b](01) Invested in an IRA, annuity,     [fill PR65_8:b](08) Bought a car, boat, furniture,
                    or other retirement program                          or other consumer items
[fill PR65_2:b](02) Put it into a savings account
                    or CDs                           [fill PR65_9:b](09) Vacation, travel, or
recreation
[fill PR65_3:b](03) Invested in other financial      [fill PR65_10:b](10) Paid expenses while laid off
                    instruments (stocks, mutual      [fill PR65_11:b](11) Moving or relocation expenses
                    funds, bonds, money market funds)[fill PR65_12:b](12) Medical or dental expenses
[fill PR65_4:b](04) Invested in land, other real     [fill PR65_13:b](13) Paid or saved for education
                    properties                       [fill PR65_14:b](14) General or everyday expenses
[fill PR65_5:b](05) Invested in own or family        [fill PR65_15:b](15) Gave to family members or
                    business or farm                                      charities
[fill PR65_6:b](06) Used for housing (purchase,      [fill PR65_16:b](16) Paid taxes
                    paid off mortgage, home          [fill PR65_17:b](17) Saved for retirement expenses
                    improvements/repairs             [fill PR65_18:b](18) Saved or invested in other
ways
[fill PR65_7:b](07) Paid bills, loans, or other debts[fill PR65_19:b](19) Spent in other ways
        @1
```

Enter Text

PR65_ERR

```
   "Don't Know and/or Refused" response not permitted with other answers
        Enter (B) to backup
        @
```

Multiple Entry

PR66_PR600

```
   Earlier [fill HESHE] said [fill HESHE] received some pension or retirement income
   other than Social Security  during the period from
   [fill MONTH1] through [fill MONTH4]. Will [fill HESHE] continue to receive these
   benefits for the rest of [fill HISHER] life, or will it be just a limited
   number of payments, or was it just a single lump sum payment?

   ENTER ALL THAT APPLY.
   ENTER "N" AFTER LAST ENTRY.

        [fill PR66_1:b](1) Rest of life
        [fill PR66_2:b](2) Limited number of payments
        [fill PR66_3:b](3) Lump-sum payment
        @1
```

Enter Text

PR66_ERR

```
   "Don't Know and/or Refused" response not permitted with other answers
        Enter (B) to backup
        @
```

Mark One Only   **PR67_PR610**

```
Did [fill HESHE] receive this income from more than one pension plan?

     (1) Yes
     (2) No
     @
```

Enter Number   **PR68_PR620**

```
How many different plans did [fill HESHE] receive this income from?

     @
```

Mark One Only   **PR69_PR640**

```
[if PR67_PR610 eq <1> and PR66_A(<1>) eq <X>]
The following questions refer to the pension or retirement
plan that pays the largest amount of lifetime benefits.
[else]
[if PR66_A(<2>) eq <X>]
The following questions refer to the benefits [fill HESHE] [fill AREIS]
receiving in a limited number of payments.
[else]
[if PR66_A(<3>) eq <X>]
The following questions refer to the benefits [fill HESHE] received
as a lump-sum payment.
[endif] [endif] [endif]
Does this pension benefit come from a job or business that
[fill HESHE] held in the past, or does it come from a job or business held
by [fill HISHER] former spouse?

     (1) Respondent's job
     (2) Respondent's former spouse's job
     (3) Other
     @
```

Enter Number   **PR70_PR650**

```
In what year did [fill HESHE] begin receiving this pension?

     @ Year
```

Mark One Only   **PR71_PR660**

```
Was the amount of this pension payment based on years of service
and pay, or on the amount of money held in an individual account
for [fill HIMHER]?

     (1) Years of service and pay
     (2) Amount in individual account
     @
```

Mark One Only   **PR72_PR670**

```
Were reduced benefits taken in order to elect a survivor's option?

     (1) Yes
     (2) No
     (3) No survivor's option offered
     @
```

Mark One Only   **PR73_PR680**

```
Has the amount of [fill HISHER] pension ever increased for any reason?

     (1) Yes
     (2) No
     @
```

PR74_PR690

Mark One Only

```
Does [fill HISHER] pension plan provide for automatic cost-of-living
adjustments known as COLA's?

     (1) Yes
     (2) No
     @
```

PR75_PR700

Mark One Only

```
Did the amount of [fill HISHER] pension payment ever decrease for any
reason?

     (1) Yes
     (2) No
     @
```

PR76_PR710

Enter Number

```
How much did [fill HESHE] receive from this plan each month when
[fill HESHE] first began receiving the pension payment?

     $@
```

PR77_PR720

Enter Number

```
How much [fill DODOES] [fill HESHE] currently receive EACH MONTH from this plan?

     $@
```

PR78_PR730

Mark One Only

```
Now I have some questions about [fill HISHER] most recent lump sum payment.
Did this payment come from a job or business [fill HESHE] held in the past,
or did it come from a job or business held by [fill HISHER] former spouse?

     (1) Respondent's former job
     (2) Respondent's former spouse's job
     (3) Other
     @
```

PR79_PR740

Mark One Only

```
[fill C_HAVHAS] [fill HESHE] ever retired from a job or business?

     (1) Yes
     (2) No
     @
```

PR80_PR750

Mark One Only

```
[fill C_HAVHAS] [fill HESHE] ever worked for pay as much as five years or more?

     (1) Yes
     (2) No
     @
```

PR81_PR751

Mark One Only

```
[if PR79_PR740 eq <1>]
Did [fill HESHE] retire from a job or from a business?
[else]
[if PR80_PR750 eq <1>]
Was [fill HISHER] longest employment on  a job or in a business?
[else]
Did this pension benefit come from a job or from a business?
[endif] [endif]

     (1) Job
     (2) Business
     @
```

Mark One Only **PR82_PR760**

```
[if PR66_A(<1>) ne <> or PR66_A(<2>) ne <> or PR66_A(<3>) ne <>]
The next questions are about the job from which [fill HESHE] received this
pension or retirement income.
[else]
[if PR78_PR730 ne <>]
The next questions are about the job from which [fill HESHE] received this
most recent lump-sum payment.
[else]
[if PR79_PR740 eq <1>]
The next questions are about the job from which [fill HESHE] retired.
[else]
[if PR80_PR750 eq <1>]
The next questions are about the job on which [fill HESHE] worked the
longest.
[endif] [endif] [endif] [endif]

What type of organization was that?

        (1) A Government organization (including Armed Forces)
        (2) A Private for profit Company
        (3) A non-profit organization including tax-exempt and
            charitable organizations
        (4) A family business or farm?
        @
```

Mark One Only **PR83_PR770**

```
Was that Federal Government, State Government, Local Government,
or active duty Armed Forces?

        (1) Federal Government (civilian)
        (2) State Government
        (3) Local Government (county, city, township)
        (4) Active duty Armed Forces
        @
```

Enter Text **PR84_PR780**

```
What was the main function or activity of the government
organization that [fill HESHE] worked for ?

        @
```

Mark One Only **PR85_PR781**

```
Did [fill HESHE] work as a paid or unpaid employee for the family business
or farm?

        (1)  For pay
        (2)  Unpaid worker
        @
```

Enter Text **PR86_PR790**

```
What kind of business or industry was that?

READ IF NECESSARY:

What did they make or do where [fill HESHE] worked?

        @
```

<div align="center">Mark One Only</div>

PR87_PR810

```
Was it mainly?

    (1) Manufacturing
    (2) Wholesale Trade
    (3) Retail Trade
    (4) Service
    (5) Some other kind of business?
    @
```

<div align="center">Enter Text</div>

PR88_PR820

```
What kind of work [fill WASWERE] [fill HESHE] doing on that job,
that is, what was [fill HISHER] occupation?

For example: Bookkeeper, Plumber, Press operator

    @
```

<div align="center">Enter Text</div>

PR89_PR830

```
What were [fill HISHER] usual activities or responsibilities on that job?

For example: Keeping account books, repairing pipes, operating
printing presses

    @
```

<div align="center">Mark One Only</div>

PR90_PR840

```
Did [fill HISHER] employer operate in more than one location?

    (1)  Yes
    (2)  No
    @
```

<div align="center">Mark One Only</div>

PR91_PR850

```
How many people were employed at the location where [fill HESHE] worked?

    (1) less than 10
    (2) 10 to 24
    (3) 25 to 49
    (4) 50 to 99
    (5) 100 to 249
    (6) 250 to 499
    (7) 500 to 999
    (8) 1,000 or more
    @
```

<div align="center">Mark One Only</div>

PR92_PR860

```
[if PR90_PR840 eq <1> and PR91_PR850 ne <8>]
About how many people were employed by that employer
at all locations?
[else]
[if PR90_PR840 eq <2> or PR90_PR840 eq <R> or PR90_PR840 eq <D>]
About how many people were employed by that employer?
[endif] [endif]

    (1) less than 10
    (2) 10 to 24
    (3) 25 to 49
    (4) 50 to 99
    (5) 100 to 249
    (6) 250 to 499
    (7) 500 to 999
    (8) 1,000 or more
    @
```

Mark One Only

PR93_PR870

When [fill HESHE] worked for that employer, [fill WASWERE] [fill HESHE]
covered under a union or employee association contract?

    (1) Yes
    (2) No
    @

Enter Number

PR94_PR880

How many hours per week did [fill HESHE] usually work at that job?

    @ Hours

Enter Number

PR95_PR890

How many weeks during the year did [fill HESHE] usually work at that job?
Include paid vacation and sick leave as work time.

    @ WEEKS

Enter Number

PR96_PR900

How many years did [fill HESHE] work at that job?

    @ Years

Enter Number

PR97_PR910

In what year did [fill HESHE] leave that job?

    @ Year

Multiple Entry

PR98_PR920

When [fill HESHE] left that job, how much [fill WASWERE] [fill HESHE]
earning before deductions for taxes, etc?

$ @1
Per: (1) Week
     (2) Biweekly
     (3) Month
     (4) Year
     @2

Mark One Only

PR99_PR940

[fill C_AREIS] [fill HESHE] now covered by a health plan provided
through [fill HISHER] former employer?

    (1) Yes
    (2) No
    @

PR100_PR950

```
[if PR66_A(<1>) ne <> or PR66_A(<2>) ne <> or PR66_A(<3>) ne <>]
The next questions are about the business from which [fill HESHE] received
this pension or retirement income.
[else]
[if PR78_PR730 ne <>]
The next questions are about the business from which [fill HESHE] received
this most recent lump-sum payment.
[else]
[if PR79_PR740 eq <1>]
The next questions are about the business from which [fill HESHE] retired.
[else]
[if PR80_PR750 eq <1>]
The next questions are about the business which [fill HESHE] operated for
the longest time.
[endif] [endif] [endif] [endif]
What kind of business was that?

READ IF NECESSARY: What did the business do or make?

        @
```

Mark One Only

PR101_PR951

```
Was this business mainly...

    (1) Manufacturing
    (2) Wholesale Trade
    (3) Retail Trade
    (4) Service
    (5) Some other kind of business?
    @
```

Enter Text

PR102_PR952

```
What kind of work [fill WASWERE] [fill HESHE] doing at that business,
that is, what was [fill HISHER] occupation?

For example: Sales manager, dentist, farmer

        @
```

Enter Text

PR103_PR953

```
What were [fill HISHER] usual activities or responsibilities at that
business?

For example: Managing sales, repairing teeth, farming

        @
```

Mark One Only

PR104_PR954

```
macro retire_tm in time.mac

        What was the maximum number of people [fill HESHE] employed, including
        [fill SELF], who worked at this business at any one time?

                (1) less than 10
                (2) 10 to 24
                (3) 25 to 49
                (4) 50 to 99
                (5) 100 to 249
                (6) 250 to 499
                (7) 500 to 999
                (8) 1,000 or more
                @
```

Mark One Only   **PR105_PR955**

Was this business incorporated?

       (1) Yes
       (2) No
       @

Enter Number   **PR106_PR956**

How many hours per week did [fill HESHE] usually work at that business?

       @ Hours

Enter Number   **PR107_PR957**

How many weeks during the year did [fill HESHE] usually work at that
business? Include paid vacation and sick leave as work time.

       @ WEEKS

Enter Number   **PR108_PR958**

How many years did [fill HESHE] work at that business?

       @ Years

Enter Number   **PR109_PR959**

In what year did [fill HESHE] leave that business?

       @ Year

Multiple Entry   **PR110_PR960**

When [fill HESHE] left that business, how much [fill WASWERE] [fill HESHE]
earning before deductions for taxes, etc?

$ @1
Per: (1) Week
     (2) Biweekly
     (3) Month
     (4) Year
     @2

Mark One Only   **PR111_PR970**

[fill C_AREIS] [fill HESHE] now covered by a health plan provided
through [fill HISHER] former business?

       (1) Yes
       (2) No
       @

Mark One Only   **PR112_PR980**

Compared to the standard of living [fill HESHE] had in [fill HISHER]
early fifties, would [fill HESHE] say that [fill HISHER] currrent
standard of living is...

READ ALL CATEGORIES.

       (1) Much better
       (2) Somewhat better
       (3) About the same
       (4) Somewhat worse
       (5) Much worse
       @

Mark One Only
AIRA002_BUS97A

```
    Earlier you told me [fill TEMPNAME] operated
 (Read all business names during 2004).  Did [fill TEMPNAME] own and
 operate any other businesses during 2004?

     (1)  Yes
     (2)  No

      @
```

Mark One Only
AIRA003_BUS97B

```
 Did [fill TEMPNAME] own and operate any businesses during 2004?

     (1)  Yes
     (2)  No

      @
```

Multiple Entry
AIRA004_BUSNAM

```
 What was the name of these businesses?

 ENTER (N) AFTER LAST BUSINESS

 Business 1:  @1
 Business 2:  @2
 Business 3:  @3
 Business 4:  @4
 Business 5:  @5
```

Mark One Only
AIRA_BUSLIS

```
 Businesses listed in prior interviews
 [roster begin bus]
 BUSINESS NAME = [fill I_ALLBUS:l]

 Businesses listed this interview
 [if AIRA004_BUSNAM@1 valid] [fill AIRA004_BUSNAM@1] [endif]
 [if AIRA004_BUSNAM@2 valid] [fill AIRA004_BUSNAM@2] [endif]
 [if AIRA004_BUSNAM@3 valid] [fill AIRA004_BUSNAM@3] [endif]
 [if AIRA004_BUSNAM@4 valid] [fill AIRA004_BUSNAM@4] [endif]
 [if AIRA004_BUSNAM@5 valid] [fill AIRA004_BUSNAM@5] [endif]

  PRESS "ENTER" TO CONTINUE
      @
       [@] [nodata]
```

Multiple Entry
AIRA006_TWOBUS

```
 Businesses listed in prior interviews
 [roster begin bus]
 BUSINESS NAME = [fill I_ALLBUS:l]
 [roster end bus]

 Businesses listed this interview
 [if AIRA004_BUSNAM@1 valid] [fill AIRA004_BUSNAM@1] [endif]
 [if AIRA004_BUSNAM@2 valid] [fill AIRA004_BUSNAM@2] [endif]
 [if AIRA004_BUSNAM@3 valid] [fill AIRA004_BUSNAM@3] [endif]
 [if AIRA004_BUSNAM@4 valid] [fill AIRA004_BUSNAM@4] [endif]
 [if AIRA004_BUSNAM@5 valid] [fill AIRA004_BUSNAM@5] [endif]
 Which two of the businesses yielded the largest net incomes
 during 2004?

 ENTER (N) IF NO SECOND BUSINESS
 ENTER (N) AFTER LAST BUSINESS

 Business 1 @1
 Business 2 @2
```

Mark One Only
AIRA007_BS1FRM

```
[if AIRA006_TWOBUS@1 valid]
BUSINESS1 = [fill AIRA006_TWOBUS@1]

What was the form of this (business/practice) - was it a sole
proprietorship, a partnership, or a corporation?

   (1)   Sole proprietorship
   (2)   Partnership
   (3)   Corporation

    @
```

Mark One Only
AIRA008_BS1LOC

```
Was this business primarily located in [fill PTEMPNAME] own
home or somewhere else?

   (1)   Own home
   (2)   Somewhere else

    @
```

Mark One Only
AIRA010_BS1OWN

```
Were any other members of this household part owners of this
(business/practice)?

   (1)   Yes
   (2)   No

    @
```

Multiple Entry
AIRA011_BS1WHO

```
Which other household members were owners?

ENTER LINE NUMBER OF PERSON

   @1  Person
   @2  Person
```

Mark One Only
AIRA013_BS1HH

```
Was this (business/practice) owned entirely by members of this
household?

   (1)   Yes
   (2)   No

    @
```

Enter Number
AIRA014_BS1PCT

```
What percentage of this (business/practice) was owned by members of
this household?

   @   Percent
```

Enter Number
AIRA015_BS1PTO

```
What percentage of this (business/practice) did [fill TEMPNAME] own
in [fill HISHER] own name?

   @   Percent
```

Enter Number
AIRA016_BS1PCT

```
What were the gross receipts of this (business/practice) in 2004?

   @   Dollars
```

Enter Number	**AIRA017_BS1EXP**

What were the total expenses of this (business/practice) in 2004?

    @ Dollars

Multiple Entry	**AIRA021_BS1NET**

What was [fill PTEMPNAME] net income from this (business/practice)
in 2004?
Please use records if they are available. (Obtain estimate if
necessary.)

ENTER (N) FOR NONE OR NO MORE

    @1 Profit
    OR
    @2 Loss

Mark One Only	**AIRA024_BS1OTH**

Apart from the net income already reported for [fill TEMPNAME],
did other household owners receive any net income in 2004 from
this (business/practice)?

    (1) Yes
    (2) No

     @

Multiple Entry	**AIRA025_BS1AMT**

What was the amount of net income that was received by
[fill AIRA011_BS1WHO@1(X)]

ENTER (N) FOR NONE OR NO MORE

    @1 Profit
    OR
    @2 Loss

Multiple Entry	**AIRA026_BS1NTO**

What was the amount of net income that was received by
[Fill AIRA011_BS1WHO@2(X)]

ENTER (N)FOR NONE OR NO MORE

    @1 Profit
    OR
    @2 Loss

Mark One Only	**AIRA027_BS2FRM**

[if AIRA006_TWOBUS@2 valid]
BUSINESS2 = [fill AIRA006_TWOBUS@2]

What was the form of this (business/practice) – was it a sole
proprietorship, a partnership, or a corporation?

    (1) Sole proprietorship
    (2) Partnership
    (3) Corporation

     @

Mark One Only **AIRA028_BS2LOC**

Was this business primarily located in [fill PTEMPNAME] own home or
somewhere else?

    (1) Own home
    (2) Somewhere else

     @

Mark One Only **AIRA030_BS2OWN**

Were any other members of this household part owners of this
(business/practice)?

    (1) Yes
    (2) No

     @

Multiple Entry **AIRA031_BS2WHO**

Which other household members were owners?

ENTER LINE NUMBER OF PERSON

    @1 Person
    @2 Person

Mark One Only **AIRA032_BS2HH**

Was this (business/practice) owned entirely by members of this
household?

    (1) Yes
    (2) No

     @

Enter Number **AIRA033_BS2PCT**

What percentage of this business/practice) was owned by members of
this household?

    @ Percent

Enter Number **AIRA034_BS2PTO**

What percentage of this (business/practice) did [fill TEMPNAME] own
in [fill HISHER] own name?

    @ Percent

Enter Number **AIRA035_BS2PCT**

What were the gross receipts of this (business/practice) in 2004?

    @ Dollars

Enter Number **AIRA036_BS2EXP**

What were the total expenses of this (business/practice) in 2004?

    @   Dollars

Multiple Entry   **AIRA038_BS2NET**

```
What was [fill PTEMPNAME] net income from this (business/practice)
in 2004?
Please use records if they are available. (Obtain estimate if
necessary.)

ENTER (N) FOR NONE OR NO MORE

     @1  Profit
     OR
     @2  Loss
```

Mark One Only   **AIRA040_BS2OTH**

```
Apart from the net income already reported for [fill TEMPNAME],
did other household owners receive any net income in 2004 from
this (business/practice)?

   (1)  Yes
   (2)  No

     @
```

Multiple Entry   **AIRA041_BS2AMT**

```
 What was the amount of net income that was received by
[fill AIRA032_BSWHO@1(X)]

 ENTER (N) FOR NONE OR NO MORE

     @1  Profit
     OR
     @2  Loss
```

Multiple Entry   **AIRA042_BS2NTO**

```
 What was the amount of net income that was received by
[fill AIRA032_BSWHO@2(X)]

 ENTER (N) FOR NONE OR NO MORE

     @1  Profit
     OR
     @2  Loss
```

Multiple Entry   **AIRA052_NETOBS**

```
What was [fill TEMPNAME] net income from [fill HISHER] other
businesses in 2004?

ENTER (N) FOR NONE OR NO MORE

     @1 Profit
     OR
     @2 Loss
```

Mark One Only   **AIRA053_IRA**

```
[fill C_DODOES] [fill TEMPNAME] have an Individual Retirement
Account, that is, an IRA, in [fill HISHER] own name?

   (1)  Yes
   (2)  No

     @
```

Mark One Only   **AIRA054_IRACON**

Did [fill TEMPNAME] make any tax-deductible contributions to
IRA accounts which applied to [fill HISHER] 2004 tax return?

    (1) Yes
    (2) No

     @

Enter Number   **AIRA055_IRAAMT**

How much were [fill PTEMPNAME] tax-deductible contributions to
IRA accounts which applied to [fill HISHER] 2004 tax return?

    @ Amount

Mark One Only   **AIRA056_IRAWD**

Did [fill TEMPNAME] make any withdrawals from [fill HISHER]
IRA accounts during 2004?

    (1) Yes
    (2) No

     @

Enter Number   **AIRA057_IRAWAT**

How much did [fill TEMPNAME] withdraw from IRA accounts during 2004?

    @ Amount

Enter Number   **AIRA058_IRAERN**

Including all IRA accounts in [fill PTEMPNAME] own name, how much
did [fill HISHER] IRA accounts earn during 2004?

ENTER (N) NONE

    @ Amount

Multiple Entry   **AIRA059_IRAAST**

What types of assets did [fill TEMPNAME] have in [fill HISHER]
IRA accounts?

MARK ALL THAT APPLY

(1) Yes (2) No

    @1 Certificates of deposit or other savings certificates
    @2 Money market funds
    @3 U.S. Government securities
    @4 Municipal or corporate bonds
    @5 U.S. Savings Bonds
    @6 Stocks or mutual fund shares
    @7 Other assets

Mark One Only   **AIRA060_KEO**

[fill C_DODOES] [fill TEMPNAME] have a Keogh account in [fill HISHER]
own name?

    (1) Yes
    (2) No

     @

<div align="center">Mark One Only</div>

AIRA061_KEOCON

```
Did [fill TEMPNAME] make any tax-deductible contributions to a
Keogh account which applied to [fill HISHER] 2004 tax return?

   (1)   Yes
   (2)   No

     @
```

<div align="center">Enter Number</div>

AIRA062_KEOAMT

```
How much were [fill PTEMPNAME] tax-deductible contributions to
Keogh accounts which applied to [fill HISHER] 2004 tax return?

     @   Amount
```

<div align="center">Mark One Only</div>

AIRA063_KEOWD

```
Did [fill TEMPNAME] make any withdrawals from [fill HISHER] Keogh
accounts during 2004?

   (1)   Yes
   (2)   No

     @
```

<div align="center">Enter Number</div>

AIRA064_KEOWAT

```
How much did [fill TEMPNAME] withdraw from Keogh accounts
during 2004?

     @   Amount
```

<div align="center">Enter Number</div>

AIRA065_KEOERN

```
Including all Keogh accounts in [fill PTEMPNAME] own name, how
much did [fill PTEMPNAME] Keogh accounts earn during 2004?

ENTER(N)FOR NONE

     @   Amount
```

<div align="center">Multiple Entry</div>

AIRA066_KEOAST

```
What type of assets did [fill TEMPNAME] have in [fill HISHER]
Keogh accounts?

MARK ALL THAT APPLY

(1)  Yes  (2)  No

     @1  Certificates of deposit or other savings certificates
     @2  Money market funds
     @3  U.S. Government securities
     @4  Municipal or corporate bonds
     @5  U.S. Savings Bonds
     @6  Stocks or mutual fund shares
     @7  Other assets
```

<div align="center">Mark One Only</div>

AIRA068_401

```
During 2004, did [fill TEMPNAME] participate in an employee thrift
plan such as a 401k plan?  Such a plan allows employees to defer
part of their salary and not have to pay taxes on their deferred
salary until they retire or make a withdrawal.

   (1)   Yes
   (2)   No

     @
```

Enter Number | **AIRA069_401CON**

How much did [fill TEMPNAME] contribute to this plan
during 2004?

 ENTER (N) FOR NONE

    @   Amount

Mark One Only | **AIRA070_401WD**

Did [fill TEMPNAME] make any withdrawals from [fill HISHER] 401k
plan during 2004?

   (1)   Yes
   (2)   No

     @

Enter Number | **AIRA072_401WAT**

How much did [fill TEMPNAME] withdraw from 401k plan accounts
during 2004?

    @   Amount

Enter Number | **AIRA073_401ERN**

Including all 401k plan accounts in [fill HISHER] own name, how
much did [fill HISHER] 401k plan accounts earn during 2004?

ENTER (N) NONE

      @   Amount

Multiple Entry | **AIRA074_401AST**

What types of assets did [fill TEMPNAME] have in [fill HISHER]
401k plan accounts?

MARK ALL THAT APPLY

(1) Yes (2) No

     @1   Money market funds
     @2   U.S. Government securities
     @3   Municipal or corporate bonds
     @4   Stocks or mutual fund shares
     @5   Other assets

Mark One Only | **ARRECUSE**

DO NOT READ TO RESPONDENT

 Did respondent use any records when reporting the amount of annual
 income received or income received from retirement accounts?

   (1) Yes
   (2) No

     @

<div style="text-align: right">**TAX002_FILE**</div>

Mark One Only

Did [fill TEMPNAME] file a Federal income tax return for
2005?

    (1) Yes
    (2) No
     @

<div style="text-align: right">**TAX003_COPY**</div>

Mark One Only

Do you have a copy of [fill PTEMPNAME] tax form or a
worksheet that you could refer to for the next few questions?

    (1) Yes
    (2) No
     @

<div style="text-align: right">**TAX004_STATUS**</div>

Mark One Only

What was [fill PTEMPNAME] filing status on [fill HISHER]
2005 Federal tax return?

LINES 1-5 ON FORMS 1040 or 1040A

    (1) Single taxpayer
    (2) Married, filing joint return
    (3) Married, filing separately
    (4) Unmarried head of household
    (5) Qualifying widow(er) with dependent child
     @

<div style="text-align: right">**TAX005_EXEMP**</div>

Enter Number

What were the total number of exemptions claimed on
[fill PTEMPNAME] return?

LINE 6d ON FORMS 1040 OR 1040A

    Enter number of exemptions:@

<div style="text-align: right">**TAX007_EXEMHH**</div>

Multiple Entry

Besides [fill TEMPNAME], which persons in this household did
[fill TEMPNAME] claim as an exemption?

ENTER LINE NUMBER OF PERSON COVERED
ENTER (A) FOR ALL PERSONS COVERED
ENTER (N) FOR NONE OR NO MORE
  @1   @2   @3   @4   @5   @6   @7   @8   @9   @10
  @11 @12 @13 @14 @15 @16 @17 @18 @19 @20
  @21 @22 @23 @24 @25 @26 @27 @28 @29 @30

<div style="text-align: right">**TAX008_EXMOUT**</div>

Mark One Only

Did [fill TEMPNAME] claim exemptions for any persons who lived
outside of [fill HISHER] home for the entire year?

    (1) Yes
    (2) No

     @

<div style="text-align: right">**TAX008B_EXEMNO**</div>

Enter Number

How many persons who lived outside of the household did
[fill TEMPNAME] claim exemptions for the entire year?

Enter number of persons exemptions outside of the household:@

Multiple Entry
TAX009_RELATE

```
What was the relationship of this person/these persons
to [fill TEMPNAME]?

ENTER (N) FOR NONE OR NO MORE

   (1) Parent
   (2) Child
   (3) Brother/Sister
   (4) Other

   @1   @2   @3   @4   @5   @6   @7   @8   @9   @10
```

Mark One Only
TAX011_FORM

```
Did [fill TEMPNAME] file form 1040, the long form or did
[fill TEMPNAME] file one of the short forms, 1040A or 1040EZ?

    (1) Form 1040
    (2) Form 1040A
    (3) Form 1040EZ

    @
```

Mark One Only
TAX012_SCHEDA

```
Did [fill TEMPNAME] file a Schedule A, Itemized Deduction,
with [fill HISHER] 2005 tax return?

   (1) Yes
   (2) No

    @
```

Mark One Only
TAX013_SCHEDD

```
Did [fill TEMPNAME] file Schedule D, Capital Gains and Losses,
with [fill HISHER] 2005 tax return?

   (1) Yes
   (2) No

    @
```

Enter Number
TAX017_ITEMIZ

```
   [if TAX004_STATUS eq <2>]
How much were [fill HISHER] (and [fill HISHER] spouse's) itemized
deductions for 2005?
   [else]
How much were [fill HISHER] itemized deductions for 2005?
   [endif]

LINE 38 OF FORM 1040

   Amount: $@
```

Mark One Only
TAX018_DEPEND

```
Did [fill TEMPNAME] claim a child and dependent care expense
credit in 2005?

LINE 46 ON FORM 1040; LINE 29 ON FORM 1040A

   (1) Yes
   (2) No

    @
```

	TAX019_DEPAMT
Enter Number	

What was that amount?

    Amount:$@

	TAX19B_DPHH
Multiple Entry	

For which persons did you claim this exemption?

ENTER LINE NUMBER OF PERSON COVERED
ENTER (A) FOR ALL PERSONS COVERED
ENTER (N) FOR NONE OR NO MORE

   @1   @2   @3   @4   @5   @6   @7   @8   @9   @10

   @11 @12 @13 @14 @15 @16 @17 @18 @19 @20

   @21 @22 @23 @24 @25 @26 @27 @28 @29 @30

	TAX020_CREDIT
Mark One Only	

Did [fill TEMPNAME] claim a credit for the elderly or the
disabled in 2005?

LINE 47 ON FORM 1040; LINE 30 ON FORM 1040A

   (1) Yes
   (2) No

    @

	TAX021_CRDAMT
Enter Number	

What was that amount?

    Amount:$@

	TAX023_GAINS
Enter Number	

   [if TAX004_STATUS eq <2>]
How much were [fill PTEMPNAME](and [fill PTEMPNAME] spouse's)
capital gains or losses from the sale or exchange of personal
assets for 2005?(Line 13 on Form 1040)
   [else]
How much were [fill PTEMPNAME] capital gains or losses from the sale
or exchange of personal assets for 2005?(Line 13 on Form 1040)
   [endif]

ENTER LOSS AS A NEGATIVE AMOUNT
LINE 13 ON FORM 1040
ENTER (N) FOR NONE

      $@

	TAX024_AGI
Enter Number	

   [if TAX004_STATUS eq <2>]
What was [fill PTEMPNAME] (and [fill PTEMPNAME] spouse's)
adjusted gross income in 2005?
   [else]
What was [fill PTEMPNAME] adjusted gross income in 2005?
   [endif]

ENTER LOSS AS A NEGATIVE AMOUNT
LINE 35 ON FORM 1040; LINE 21 ON FORM 1040A; LINE 4 ON FORM 1040EZ
ENTER (N) FOR NONE

    $@

Enter Number
TAX025_TXLIAB

```
   [if TAX004_STATUS eq <2>]
What was [fill PTEMPNAME](and [fill PTEMPNAME] spouse's) net
tax liability in 2005?
   [else]
What was [fill PTEMPNAME] net tax liability in 2005?
   [endif]
LINE 61 ON FORM 1040; LINE 38 ON FORM 1040A; LINE 10 ON FORM 1040EZ
ENTER (N) FOR NONE

   Amount: $@
```

Mark One Only
TAX027_EARN

```
Did [fill TEMPNAME] claim an earned income credit on
[fill HISHER] Federal income tax return?

   (1) Yes
   (2) No

    @
```

Enter Number
TAX028_ERNAMT

```
What was the amount of earned income credit claimed?

LINE 64 ON FORM 1040; LINE 41 ON FORM 1040A; LINE 8 ON
FORM 1040EZ

   Amount:$@
```

Multiple Entry
TX28B_ERNHH

```
For which persons did you claim this exemption?

ENTER LINE NUMBER OF PERSON COVERED
ENTER (A) FOR ALL PERSONS COVERED
ENTER (N) FOR NONE OR NO MORE

   @1   @2   @3   @4   @5   @6   @7   @8   @9   @10

   @11  @12  @13  @14  @15  @16  @17  @18  @19  @20

   @21  @22  @23  @24  @25  @26  @27  @28  @29  @30
```

Mark One Only
TAX032_PROPTX

```
Did [fill TEMPNAME] pay any property taxes on [fill PTEMPNAME]
residence(s) in 2005?

   (1) Yes
   (2) No

    @
```

Mark One Only
TAX033_PROPAY

```
Did [fill TEMPNAME] pay these jointly with someone else living
here?

   (1) Yes
   (2) No

    @
```

Multiple Entry

TX34_PROWHO

```
Who made these joint payments with [fill TEMPNAME]?

ENTER LINE NUMBER OF PERSON WHO MADE JOINT PAYMENTS
ENTER (A) FOR ALL PERSONS COVERED
ENTER (N) FOR NONE OR NO MORE

  @1   @2   @3   @4   @5   @6   @7   @8   @9   @10
  @11  @12  @13  @14  @15  @16  @17  @18  @19  @20
  @21  @22  @23  @24  @25  @26  @27  @28  @29  @30
```

Enter Number

TAX035_PROAMT

```
What was the property tax bill for [fill PTEMPNAME] residence(s)
in 2005?

LINE 6 OF SCHEDULE A, PROPERTY TAX BILLS; OR OTHER DOCUMENTS
SUCH AS ESCROW SUMMARIES FROM THE MORTGAGE COMPANY

     Amount:$@
```

Items Booklet Index for

Alphabetical index for the Items Booklet